



TITLE:

Following the Birth of a Nanoplasma Produced by an Ultrashort Hard-X-Ray Laser in Xenon Clusters

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the laser, but trapped by the highly charged ionic species in the nanoplasma. The IR laser heats the plasma mainly via inverse bremsstrahlung and the XUV laser via photoionization [15,16]. Recently, nanoplasma formation was specifically reported for an atomic cluster irradiated by an XFEL pulse in the hard-x-ray regime [17]. In contrast to the former, nanoplasma formation by hard-x-ray pulses is highly indirect. Here, secondary electrons are generated either by inelastic scattering of the electrons ejected in the cascade of intra- and interatomic relaxation steps of the deep core holes initially created on individual atoms, or by the cascade itself, in its later stages. These low-energy electrons are trapped by the highly charged cluster forming the nanoplasma [17]. Also in the hard-x-ray regime, the nanoplasma formation is expected to occur for any nanometer-size matter irradiated by intense XFEL pulses. The evolution of the nanoplasma produced by XUV pulses was probed in the range of picoseconds [18]; on this timescale, follow-up electron-ion recombination processes occur during the expansion of the nanoplasma. In contrast, the nanoplasma formation process itself, which is expected to occur on a shorter time range, has never been observed to date. This is due to the lack of methods with sufficient temporal resolution. Here, we present the first femtosecond time-resolved observation of nanoplasma formation from an atomic cluster irradiated by an XFEL pulse, the birth of a nanoplasma.

II. RESULTS AND DISCUSSIONS

A. Xe atoms

We first show results for isolated Xe atoms. This is done, on one hand, to demonstrate the available temporal resolution after jitter corrections for the absolute time delay determination and, on the other hand, to emphasize the fundamental difference created by the presence of an environment in the clusters on the processes triggered by the absorption of an x-ray photon. As reported earlier [19], the irradiation of isolated Xe atoms with XFEL pulses produces a wide distribution of highly charged ions (up to Xe^{26+}) with a maximum at Xe^{8+} and with a nearly negligible fraction of low-charged ions (Xe^+ and Xe^{2+}). The highly charged ions are produced by a sequential multiphoton multiple ionization and Auger cascades [19]. In the present study, the kinetic energies of the highly charged ions Xe^{m+} ($m = 3-21$) were thermal (~ 0.04 eV in the present experimental conditions), resulting in very sharp peaks of the individual isotopes of the ions in the time-of-flight spectra, as also seen in Ref. [19]. It evidences that these highly charged Xe ions were not generated via Coulomb explosion of highly charged Xe clusters, but via Auger cascades in the isolated Xe atoms. Irradiating in addition with a near-IR (NIR) pulse, we found an enhancement of the Xe^{13+} ion yield at a certain time delay with respect to the XFEL-pump pulse, as depicted in Fig. 1(a). Similar enhancements were also found for the yields of

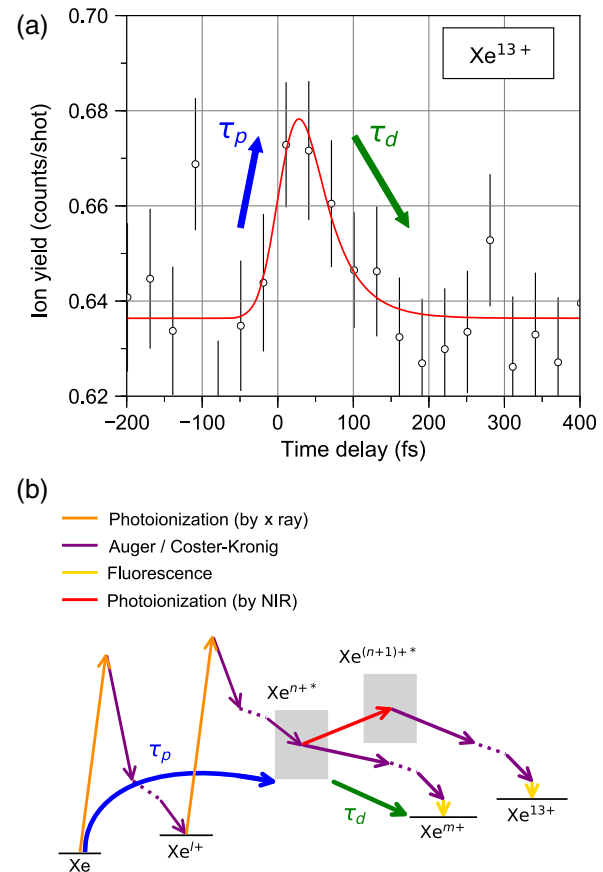


FIG. 1. Ionization of Xe^{n+} states by the NIR laser in isolated atoms. (a) Xe^{13+} yields as a function of time delay of the NIR-probe pulses (800 nm) with respect to the XFEL-pump pulses (5.5 keV). The experimental results are given by white circles. The red solid curve shows the results of the fit with a product of two exponential functions with increasing and decreasing time constants τ_p and τ_d , respectively. The function was convoluted with a Gaussian function accounting for the instrumental function with a width σ . $\tau_p \simeq 10$ fs and $\tau_d \simeq 40$ fs are the time constants for the population and depopulation of Xe^{n+} ($n \leq 12$) states, respectively. The zero time delay was obtained by the fit with an accuracy of ± 20 fs. The fitting parameter $\sigma \simeq 20$ fs (rms) or ~ 50 fs (FWHM) gives the time resolution. All data points in the time delay range from -800 to $+1500$ fs have been used for the fit. (b) Schematic diagram for the ionization of the Xe^{n+} ($n \leq m$) states which decay into Xe^{m+} ($m < 13$) states without NIR probe. The orange, purple, yellow, and red arrows show the photoionization of the Xe atoms and Xe ions by x ray, Auger cascades of core-ionized Xe ions, fluorescence, and the photoionization of transient Xe^{n+} states by the NIR laser, respectively.

Xe^{11+} and Xe^{12+} with lower statistics. We note that due to saturation effects of the ion spectrometer within the present experimental conditions, the respective variations in the yields of higher and lower charge-state ions could not be observed (see Supplemental Material [20]).

In the present atomic measurement, the transient excited states that can be ionized by the NIR pulse are most probably highly excited states of Xe^{n+} with $n \leq 12$. These

states are expected to be populated within 10 fs [19,21] and depopulated via the subsequent Auger decay in a few tens of fs at most (see Ref. [19]). A schematic view of the NIR-probe process for the present measurement is given in Fig. 1(b). By fitting we determined that the accuracy of the extracted absolute time delay is ± 20 fs. The fitting details are given in the Appendix. The temporal width of the jitter-corrected pump-probe delay measurement estimated from the fitted Gaussian width is $\sigma \simeq 20$ fs (rms) or ~ 50 fs (FWHM). The temporal width without shot-by-shot jitter corrections is ~ 700 fs (FWHM) and thus the jitter corrections lead to an improvement of the temporal resolution by more than an order of magnitude. The extracted decay-time constant τ_d is ~ 40 fs.

B. Xe clusters

Let us now discuss the results of the Xe clusters. We found that the vast majority of fragment ions are Xe^+ and Xe^{2+} . The kinetic energies of Xe^+ and Xe^{2+} ions were several tens of eV, evidencing that these ions were produced by the cluster explosion, contrasting with the nonenergetic highly charged Xe^{m+} ($m = 3\text{--}21$) ions produced from the uncondensed atoms present in the beam. A small amount of singly charged cluster ions Xe_k^+ ($k \geq 2$) was also observed. The fact that no highly charged energetic ions coming from the cluster were observed illustrates that the charge accumulated on the initially ionized atoms during the decay cascade spreads over the cluster extremely quickly before the Coulomb explosion takes place. Previous studies on rare-gas clusters interacting with an XUV laser [15,16,22–24] also show that the dominant ionic fragments from clusters are much lower charged ones than those from the isolated atoms, illustrating that the charge transfer occurs much faster than the cluster disintegration. The measured kinetic energy distributions of Xe^+ and Xe^{2+} are similar to those reported for the XUV laser irradiation (see, e.g., Ref. [24]). Namely, the kinetic energy of Xe^+ distributes widely from a few eV to ~ 100 eV, illustrating that Xe^+ ions are produced both in the core and at the periphery of the cluster. Kinetic energies of Xe^{2+} ions, on the other hand, distribute from a few tens of eV to ~ 160 eV, with an average value of ~ 80 eV, illustrating that they are generated at the periphery of the cluster.

A simple electrostatic estimate (see Supplemental Material [20]) based on the measured kinetic energy of Xe^{2+} gives the total charge of the cluster, or the number of the electrons emitted from the cluster, to be ~ 120 . Assuming that the total charge of a cluster is distributed in such a way that the total Coulomb energy is minimized [24] would give a ratio of Xe^+ to Xe^{2+} of ~ 2.5 , which is close to the present observations (~ 3). The photoionization cross section of neutral Xe atoms at 5.5 keV is ~ 0.166 Mb [19]. Therefore, with the present peak fluence of the XFEL pulse ($\sim 30 \mu\text{J}/\mu\text{m}^2$), the ionization probability of each Xe atom in

the cluster is $\sim 57\%$ and, thus, ~ 2800 atoms in the cluster of ~ 5000 atoms may be core ionized. We note, however, that the present experimental data have been averaged over the focal volume of the XFEL pulses [11,15], which means a larger contribution from lower XFEL intensities (see Supplemental Material [20] for a discussion on the dependence on the XFEL intensity). Therefore, the averaged total charge of the cluster discussed above is more than an order of magnitude smaller than that expected from the peak fluence, taking into account the very efficient three-body recombination in the core part of the dense nanoplasma [10].

Let us first focus on the Xe^{2+} yield. As seen in Fig. 2(a), a gradual increase of the Xe^{2+} yield with the time delay is

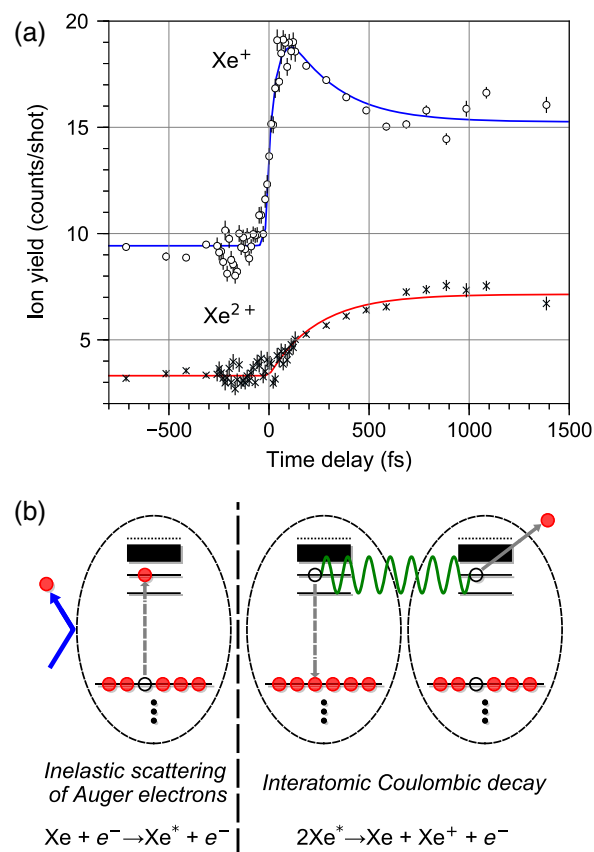


FIG. 2. Ultrafast dynamics of XFEL-induced nanoplasma. (a) Xe^+ and Xe^{2+} yields as a function of the time delay of the NIR-probe pulse (800 nm) with respect to the XFEL-pump pulse (5.5 keV). The experimental Xe^+ and Xe^{2+} yields are given by circles and crosses, respectively. The solid curves show results of the theoretical modeling of the process of NIR laser heating up of XFEL-induced nanoplasma, leading to the gradual increase of the Xe^+ and Xe^{2+} yields, as well as the process of formation of excited atoms Xe^* that can pairwise undergo interatomic Coulombic decay (ICD), or alternatively be directly ionized by the delayed NIR-probe pulse, resulting in a sharp jump and a slower depletion in the Xe^+ yield. The highly excited states are formed by the inelastic scattering of the Auger electrons during the early stages of nanoplasma formation. (b) Schematic diagrams for the inelastic scattering of Auger electrons and the ICD.

observed. This increase can be explained as follows. After a certain number of ionization events via photoemission and the first few Auger decay steps, the cluster is charged to such a degree that its Coulomb potential becomes deep enough to trap the relatively low-energetic electrons that are ejected in the later stages of the decay cascade or generated by electron-impact ionization (secondary electrons). These trapped, quasifree electrons, together with (as indicated by experiment) low-charge ions, form the nanoplasma [17]. The nanoplasma electrons may then acquire kinetic energy from the additional NIR laser field and further ionize neutral Xe to Xe^+ and Xe^+ to Xe^{2+} by collisional ionization processes. This clearly enhances the Xe^+ and Xe^{2+} yields, but why is the latter growing with the delay time as seen in Fig. 2(a)? When nanoplasma is formed by the XFEL pulse during the first few femtoseconds, the quasifree electrons are so dense that they shield the electric field inside the nanoplasma, making it much lower than the field outside of the cluster [14]. The electron density, however, decreases with time due to the nanoplasma expansion [23,25]. Thermal electron emission [17], as well as electron-ion recombination within the nanoplasma [10,18,25], may also contribute to the loss of quasifree electrons. With the decrease of the electron density, the nanoplasma starts to absorb NIR light more efficiently via the surface plasmon resonance effect [14,16] (see Supplemental Material [20]). As a result, the Xe^{2+} yield gradually increases as a function of the time delay, as seen in Fig. 2(a).

In contrast to the gradual increase of the Xe^{2+} yield, the Xe^+ yield exhibits a highly unexpected abrupt jump at zero time delay, followed by a slower decrease as a function of the time delay, as seen in Fig. 2(a). This behavior indicates that, besides the NIR heating up during the gradual expansion of the nanoplasma discussed above, the NIR pulse probes another, much faster process: the transient population by the XFEL pulse of highly excited states of neutral atomic fragments that can be ionized by the NIR pulse. This is reminiscent of the case of the Xe^{13+} yield in the isolated atoms discussed above.

How are the excited neutral atomic states populated so fast, and what is the mechanism by which they later generate Xe^+ ? Such a short time indicates that the excitations are created during the nanoplasma formation and not afterwards, when they can be produced by, for example, three-body recombination of quasifree plasma electrons [10,18]. The most probable mechanism is inelastic scattering of the Auger electrons emitted during the initial cascade from neutral atoms in the cluster, as schematically depicted in Fig. 2(b). The population by the XFEL pulse of the neutral excited states is expected to deplete by a variant of the interatomic Coulombic decay (ICD) [26] in which two excited atoms exchange energy ionizing one of them and deexciting the other [27–30] [see Fig. 2(b)]. As many highly excited xenon atoms are created in the cluster, a cascade of such ICD processes is expected [30].

To check this mechanism and to extract some relevant characteristics of the processes involved, we performed model calculations based on a system of rate equations. The equations describe evolutions of the amount of Xe, Xe^* , Xe^+ , and Xe^{2+} exposed to two Gaussian laser pulses: a 10-fs XFEL-pump pulse and a 32-fs NIR-probe pulse. The pump pulse can produce Xe^* , Xe^+ , and Xe^{2+} with probabilities (cross sections) taken as free parameters to be determined by the fitting to the experimental data. The probe pulse can ionize Xe^* with a constant probability, as well as Xe to Xe^+ and Xe^+ to Xe^{2+} with probabilities which depend on the time of arrival of the probe pulse with respect to the XFEL pulse. The latter simulates the increase of NIR absorption cross section with the cluster expansion through the surface-plasmon-resonance mechanism discussed above. The functional dependence of these probabilities is taken to be $A\theta(t - \tau_0)\{1 - \exp[-(t - \tau_0)/\tau]\}$, where $\theta(t - \tau_0)$ is the Heaviside step function and τ_0 is the time of arrival of the XFEL-pump pulse. In addition, a fraction of Xe^* atoms can decay pairwise by ICD to Xe^+ and Xe. The resulting set of rate equations, containing 10 free parameters, has been solved numerically by scanning the pump-probe time delay from -750 to 1500 fs, optimizing the parameters such that we obtain the best fit to the experimental data for Xe^{1+} and Xe^{2+} yields (see the Appendix). The resulting Xe^+ and Xe^{2+} yields are shown in Fig. 2(a).

The extracted optimum parameters show that the population of the excited xenon atoms should occur within ~ 12 fs, which corroborates well with the time constant (~ 10 fs) found for the formation of Xe^{13+} in the isolated atoms [see Figs. 1(a) and (b)], indicating that the excited Xe^* are formed during the initial Auger cascade. The optimum value for the ICD decay time is obtained to be 250 fs, yet with a rather large confidence interval, meaning that this value can be taken only as indicative for the timescale of the process. The time constant of the ICD process depends on the excited states involved, on the distance between the excited atoms, and on their number, being thus rather difficult to estimate from first principles for the present conditions. However, a number of theoretical and experimental studies have shown [27–32] that the timescale of ICD in rare-gas clusters is typically a few hundred femtoseconds. Therefore, the present extracted averaged time constant of ~ 250 fs is fully within the range of timescales to be expected for ICD mechanisms in such systems.

The previous study by Tachibana *et al.* [17] in the hard-x-ray regime revealed that a nanoplasma is efficiently formed from a cluster by the trapping of low-energy electrons. In the present study, we found the abrupt increase of Xe^+ yield due to the ionization of the highly excited atoms with the NIR pulse. Ultrafast production of these highly excited atoms is attributed to the inelastic scattering of the electrons ejected in the cascade of intra- and interatomic relaxation steps of a deep core hole initially

created on individual atoms, at the very beginning of, or at the moment of, the nanoplasma formation. The highly excited states which are populated in ~ 10 fs and depopulated in ~ 250 fs, in many atomic species inside the cluster, can be regarded as the gateway states towards nanoplasma formation. We succeeded to probe these gateway states driving the birth of the nanoplasma.

In the end, we note that the XFEL-intensity dependence of Xe^+ and Xe^{2+} yields (see Supplemental Material [20]) seems to indicate that the average ICD time increases with the increase of the XFEL intensity. This can be explained as follows. The number of directly created Xe^+ (and Xe^{2+}) ions increases with the intensity of the XFEL pulse. The higher charge accumulated hinders, and may even energetically close, the very efficient close-to-threshold intra-Rydberg ICD transitions between highly excited atoms [30], leading in that way to an increase in the average ICD time constant.

III. CONCLUSION

In conclusion, the dramatically improved time resolution of an XFEL-pump–NIR-probe experiment in the hard-x-ray regime allowed us to monitor the “birth” of a nanoplasma by capturing the ultrafast population (~ 12 fs) and depopulation (~ 250 fs) of excited states of cluster atoms during nanoplasma formation in Xe clusters. The measured time constants may be understood by the inelastic scattering of electrons produced in the Auger cascade followed by (a cascade of) interatomic decay processes such as ICD. The present experiments allow us for the first time to gain a fundamental insight into the ultrafast reactions induced by XFEL pulses, which should also be of crucial relevance for the use of XFEL pulses to determine the structure of nano-sized objects [12,13]. The present femtosecond time-resolved method is versatile and can be widely applied to study electron and nuclear dynamics induced by the interaction of hard-XFEL pulses with any form of matter.

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APPENDIX: MATERIALS AND METHODS

1. Experimental apparatus

Figure 3 shows a schematic picture of the XFEL-pump–NIR-probe setup used in the present measurements. The experiments were performed at the experimental hutch 4 (EH4) of beam line 3 (BL3) [33] of the XFEL facility in Japan, SACLA [2]. SACLA generated 5.5-keV x-ray pulses with a bandwidth of ~ 40 eV (FWHM) and a repetition rate of 30 Hz. The XFEL peak fluence in the interaction point was measured to be $30 \mu\text{J}/\mu\text{m}^2$ via an established calibration procedure using argon atoms [19]. The Xe clusters with average size of $\langle N \rangle = 5000$ atoms were prepared by adiabatic expansion of atomic Xe gas. Uncondensed Xe atoms were also prepared by setting the jet parameters such that isolated Xe atoms remain in the cluster beam. In both cases (clusters and uncondensed atoms), when the time delay was positive, the samples were first irradiated by XFEL pulses, and further by the NIR-probe laser with a wavelength of 800 nm. The pulse duration of the NIR laser was measured to be 32 fs (FWHM) and the peak fluence was $15 \text{ nJ}/\mu\text{m}^2$ ($4.8 \times 10^{13} \text{ W}/\text{cm}^2$ peak intensity). The charge-resolved Xe ion yields were measured as a function of the time delay of the NIR-probe pulse relative to the XFEL-pump pulse,

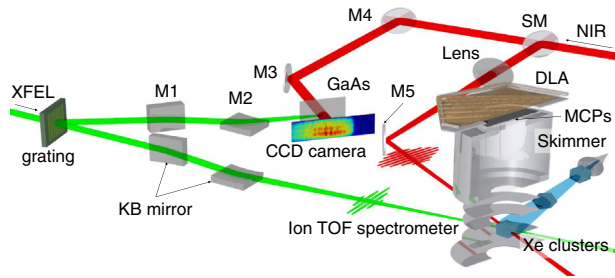


FIG. 3. Schematic picture of the XFEL-pump-NIR-probe experiment. The incident XFEL pulse impinges the grating [34], which generates multiple beams. A main part of the XFEL beam is focused on the interaction point by Kirkpatrick-Baez (KB) mirrors. The XFEL pulse [5.5 keV, < 10 fs (FWHM), 30 $\mu\text{J}/\mu\text{m}^2$] and the NIR laser pulse [800 nm, 32 fs (FWHM), 15 nJ/ μm^2] illuminate the Xe clusters ($\langle N \rangle = 5000$ atoms) directed to the interaction point through a skimmer. The ion time-of-flight (TOF) spectrometer accelerates the produced ions, and the position sensitive detector, constructed with microchannel plates (MCPs) and a delay-line anode (DLA), detects them. The different ion charges are clearly separated in the time-of-flight spectra. The other parts of the XFEL and NIR laser illuminate single crystals from gallium arsenide (GaAs) serving as targets for the arrival timing monitor [34]. The CCD camera records the spatial modulation of the optical transmittance of the NIR laser in order to determine the accurate time delay of the NIR-probe pulse with respect to the XFEL-pump pulse. M1 and M2 are mirrors for the XFEL beam, M3–M5 are mirrors for the NIR laser, and SM is a split mirror for the NIR laser.

with an ion time-of-flight spectrometer [19]. The heart of the experiment is how to determine the delay of the NIR-probe pulse relative to the XFEL-pump pulse at a resolution of tens of femtoseconds, overcoming temporal jitters of ~ 1 ps. For this purpose, a portion of the XFEL and NIR pulses were separated by a grating and a split mirror, respectively, and were injected into a gallium arsenide crystal used as a target for the arrival timing monitor [34]. The temporal jitters between arrival times of the XFEL and NIR pulses were measured on a shot-by-shot basis.

2. Analysis

To analyze the temporal behavior of the measured ion yields, we refer to the XUV-pump-UV-probe measurements on Xe atoms at FLASH in Germany, where an enhancement for the Xe^{4+} yield was observed as a function of the time delay. The enhancement was due to the ionization of the transient Xe^{2+} ($5p^{-4}nln'l'$) states to Xe^{4+} ($5p^{-4}$) by the UV-probe pulse, before they autoionize to Xe^{3+} ($5p^{-3}$) [35]. The temporal dependence of the population of the transient states was described by an increasing (populating) and decreasing (depopulating) double exponential function with the exponential time constants τ_p and τ_d , respectively:

$$f(t) = \begin{cases} 0 & (t < t_0) \\ a \left[1 - \exp\left(-\frac{t-t_0}{\tau_p}\right) \right] \exp\left(-\frac{t-t_0}{\tau_d}\right) & (t \geq t_0), \end{cases} \quad (\text{A1})$$

where t_0 was the zero time delay.

We employ the above formula to describe the temporal dependence of the population of the transient states in Xe^{n+} ($n \leq 12$). We also take into account the instrumental function. Then, the fitting function $F(t)$ can be described as a convolution of the above double exponential function $f(t)$ and a Gaussian function $g(t)$:

$$F(t) = (f * g)(t) + C, \\ g(t) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{t^2}{2\sigma^2}\right), \quad (\text{A2})$$

where σ is the width of the Gaussian function and C is a time-independent constant.

In order to fit the Xe^{13+} curve in Fig. 1(a), the time constant of the exponential increase τ_p was assumed to be 10 fs. Under this assumption we obtained the uncertainty of the absolute time delay of ± 20 fs, the temporal width $\sigma \simeq 20 \pm 20$ fs (rms), and the decay-time constant $\tau_d \simeq 40 \pm 40$ fs. The large uncertainties stem from the strong correlations between these parameters and the poor statistics. Adopting the Gaussian width to be given by the convolved width of 35 fs of the XFEL (10 fs) and NIR (32 fs) pulses, we obtain the decay-time constant $\tau_d = 43 \pm 31$ fs. When we scan τ_p from 3 to 30 fs, t_0 varies by 10 fs, σ by 2 fs, and τ_d by 2 fs. To evaluate absolute uncertainties, these small variations may need to be added to the statistical uncertainties described above.

The evolution of Xe^+ and Xe^{2+} yields as a function of the XFEL-NIR delay have been modeled by a set of equations, describing the rate with which the amounts of Xe, Xe^* , Xe^+ , and Xe^{2+} are changing by transitions induced by the pump and the probe pulses, and by the ICD process, which produces a neutral and a singly ionized xenon from two excited ones.

The action of the laser pulses has been modeled as follows. We assume that the pulse induces a smooth quantum transition between an initial and a final state (linear regime); i.e., the population transfer follows the smooth function $\xi(t)$. An appropriate choice for such a transition function is

$$\xi(t) = \frac{1}{1 + \exp(-\alpha t)}, \quad (\text{A3})$$

which goes smoothly from 0 to 1 and the parameter α determines the duration of the transition. The rate of the population transfer $R(t)$ is given by the derivative of the function $\xi(t)$ multiplied by the strength of the transition κ :

$$R(t) = \kappa \frac{\alpha}{2 + 2 \cosh(\alpha t)}. \quad (\text{A4})$$

It was shown [36] that in a two-level system a population transfer following an arbitrary smooth function $\xi(t)$ can be performed with a resonant pulse with an envelope given by

$$E(t) = \frac{\dot{\xi}(t)}{\sqrt{\xi(t)[1 - \xi(t)]}}, \quad (\text{A5})$$

where $\dot{\xi}(t)$ denotes time derivative of $\xi(t)$. This expression can be used to relate the FWHM, or the duration of the later pulse, with the duration of the transition α . Using Eq. (A3) for the function $\xi(t)$ one can easily get

$$\text{FWHM} = \frac{2}{\alpha} \operatorname{arctanh}\left(\frac{4\sqrt{3}}{7}\right). \quad (\text{A6})$$

For simulating the increasing with the cluster expansion probability to absorb NIR light (through the surface-plasmon-resonance mechanism), the strength of the transition κ is taken to be time dependent with the following form,

$$\kappa(t) = A\theta(t - \tau_0) \left[1 - \exp\left(-\frac{t - \tau_0}{\tau}\right) \right], \quad (\text{A7})$$

where τ_0 is the time of arrival of the XFEL-pump pulse.

Finally, the ICD mechanism converts two excited xenons into a neutral and a singly ionized one with a rate κ_{ICD} . For accounting that not all excited xenon atoms will decay by ICD, in the rate equations we assume that the pump pulse produces two “types” Xe^* : ICD active and ICD inactive. Both of them can be ionized by the NIR pulse with the same rate.

The resulting set of rate equations thus contains 10 parameters: the rates with which the pump XFEL pulse produces Xe^* (ICD active and ICD inactive), Xe^+ and Xe^{2+} from neutral xenon, the rates with which the probe NIR pulse produces Xe^+ from Xe^* , Xe^+ from Xe^* , and Xe^{2+} from Xe^+ , and the ICD rate. These equations have been solved numerically, scanning the delay between the XFEL and NIR pulses from -750 to 1500 fs and optimizing the parameters such that we obtain the best least-squares fit to the experimental data for the Xe^+ and Xe^{2+} yields. The Rosenbrock evolutionary algorithm for nonlinear least-squares optimization as implemented in the DAKOTA package [37] has been used by imposing a set of constraints on the parameters extracted from physical considerations and classical estimations for the cluster expansion speed (see Supplemental Material [20]).

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